

# Inter-Comparison of Thermal-Optical Carbon Measurements by Sunset and DRI Analyzers

Xiaolu Zhang, Krystyna Trzepla, Warren H. White, Sean Raffuse,  
Nicole P. Hyslop  
University of California, Davis

**IMPROVE Steering Committee Meeting  
October 14, 2020**

**UCDAVIS**

**AIR QUALITY RESEARCH CENTER**

# Background/Motivation

- Thermal-Optical Analysis (TOA) is a conventional method for quantifying OC and EC from quartz filter samples.
- Previous TOA inter-comparison studies focus more on inter-protocol comparison (e.g. IMPROVE vs NIOSH).
- CSN TOA switched from using DRI 2015 analyzers (analysis performed by DRI) to Sunset analyzers (analysis performed by UCD) in October 2018.



- The goal of this study is to understand CSN TOA measurement uncertainty and consistency by comparing results from three models of TOA analyzers (**Sunset vs. two DRI models**).

# Main Differences in the Three TOA Analyzer Models

	DRI Model 2001	DRI Model 2015	Sunset Model 5L
Laser Source	Helium-neon (He-Ne) laser at 633 nm	Seven diode lasers at 405, 445, 532, 635, 780, 808, and 980 nm	Single diode laser at 658 nm
Detection	Flame ionization detector (FID) for CH <sub>4</sub>	Non-dispersive infrared (NDIR) detector for CO <sub>2</sub>	Flame ionization detector (FID) for CH <sub>4</sub>
Temperature Calibration	Temperature-indicating liquids (Tempilaq° G) that change optical properties at 121°C, 184°C, 253°C, 510°C, 704°C and 816°C to calibrate oven temperature	Same as DRI Model 2001	A thermocouple at sample position to calibrate oven temperature at 140°C, 280°C, 480°C, 580°C, 740°C and 840°C (IMPROVE_A temperature plateaus)
Optical Configuration	Laser source installed coaxially with the optical detectors; laser beam travels in optical fiber and then through quartz guiding pipe before reaching the sample.	Same as DRI Model 2001	Laser source installed diagonally to the optical detectors with a 45° angle; laser beam travels through quartz oven window in carrier gas before reaching the sample.

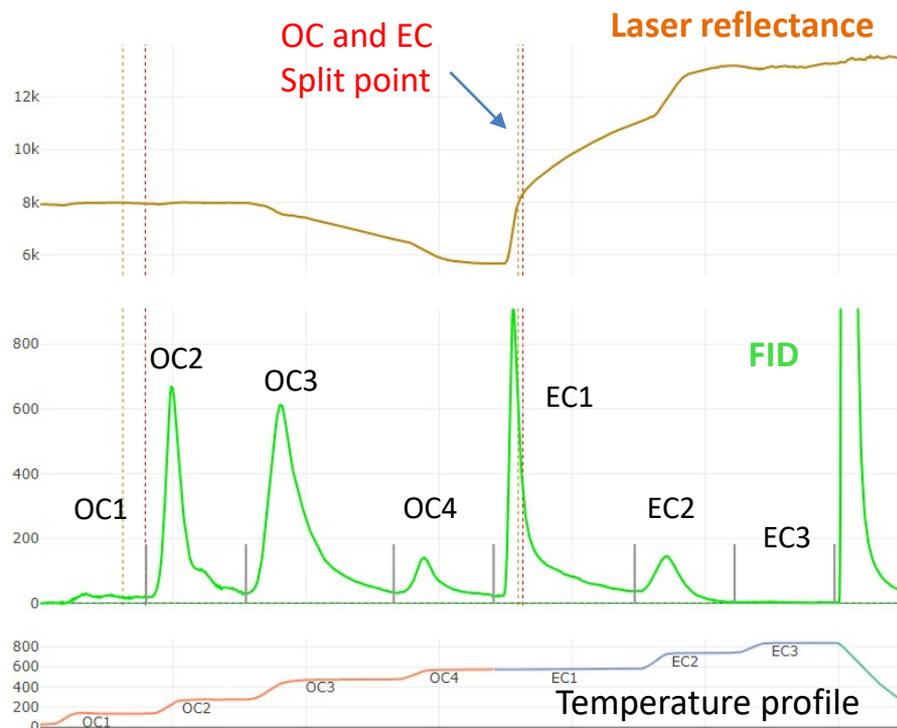
## \*Software and data processing:

baseline, peak integration, residence time, laser/temperature dependence, etc.

# IMPROVE A Protocol – Reflectance Optical Correction

Temperature Ramp (Duration)	Carrier Gas	Carbon Fraction
Heater off (90 s)	He Purge	----
140 °C (150-580 s)	He	OC1
280 °C (150-580 s)	He	OC2
480 °C (150-580 s)	He	OC3
580 °C (150-580 s)	He	OC4
580 °C (150-580 s)	He/O <sub>2</sub>	EC1
740 °C (150-580 s)	He/O <sub>2</sub>	EC2
840 °C (150-580 s)	He/O <sub>2</sub>	EC3
Heater off (110s)	He/CH <sub>4</sub>	----

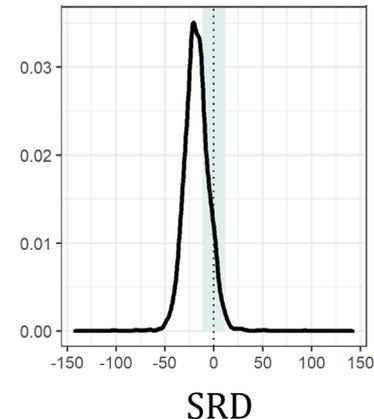
- $OC = OC1 + OC2 + OC3 + OC4 + OP$
- $EC = EC1 + EC2 + EC3 - OP$
- $TC = OC + EC$



# Methodology

- Two inter-model comparisons
  1. **DRI 2001 vs Sunset** (n = 303 archived 2007 CSN samples)
    - DRI analysis in 2008 with DRI 2001 analyzers
    - UCD reanalysis from archive in 2017 – 2018 with Sunset analyzer
  2. **Sunset vs DRI 2015** (n = 4073 fresh 2017 CSN samples)
    - UCD initial analysis in 2017 – 2018 with Sunset analyzer
    - DRI reanalysis in 2017 – 2018 with DRI 2015 analyzer
    - Replicate analyses on selected samples
- Scaled Relative Difference (SRD)

$$SRD_i = \frac{([Sunset]_i - [DRI]_i) / \sqrt{2}}{([Sunset]_i + [DRI]_i) / 2} \times 100$$



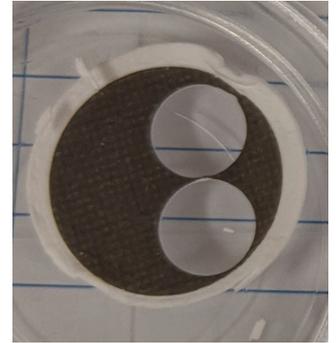
# Within- and Inter-Model Measurement Uncertainty

- Replicate analyses by each lab – two punches from one 25 mm filter sample (UCD:  $n = 559$ ; DRI:  $n = 518$ )

- $$SRD_i = \frac{([Original]_i - [Replicate]_i) / \sqrt{2}}{([Original]_i + [Replicate]_i) / 2} \times 100$$

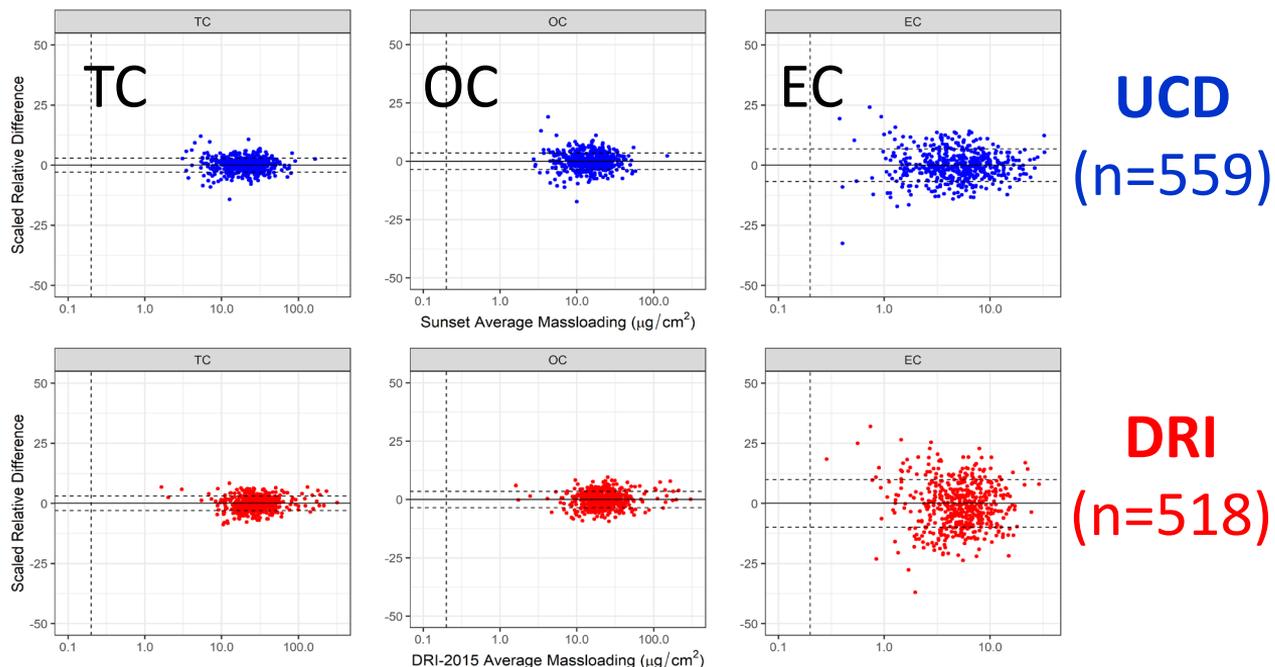
- Mean  $\overline{SRD}$  provides an estimate of replication bias (expected to be negligible)
- Standard deviation ( $1\sigma$ ) of SRD provides an estimate for within-model measurement uncertainty ( $Unc$ ) of one model type
- Combined inter-model uncertainty

$$Unc_{inter} = \sqrt{(Unc_{DRI})^2 + (Unc_{Sunset})^2}$$



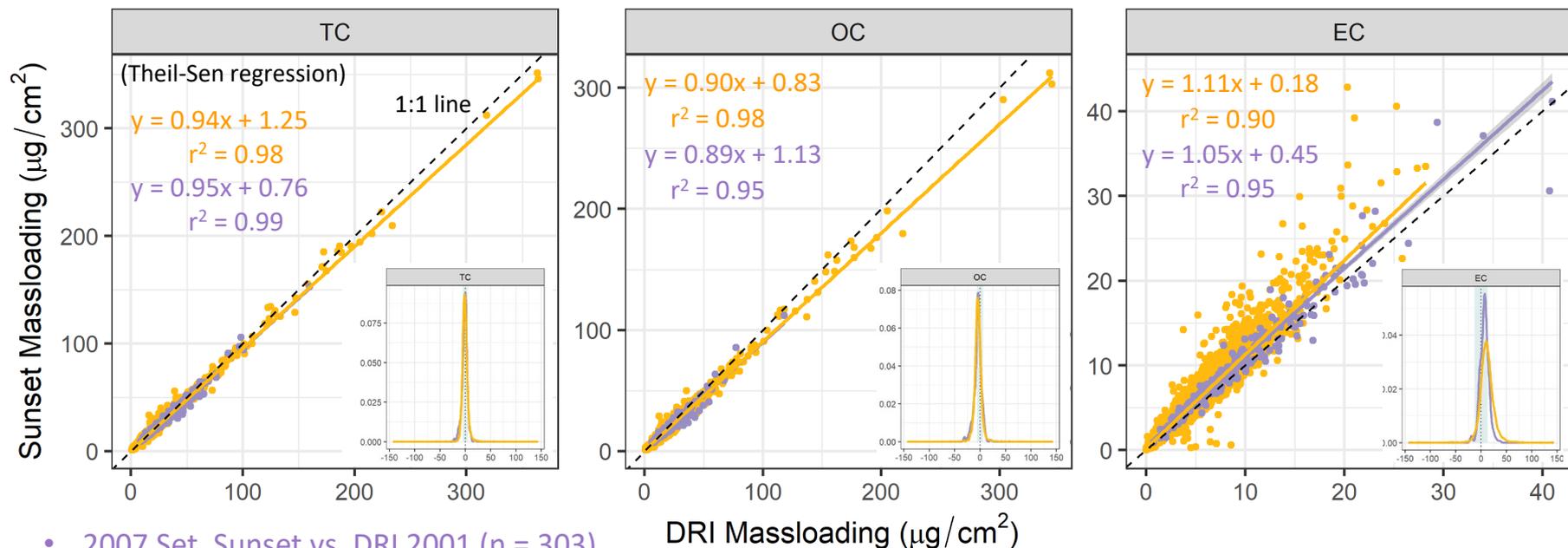
# Within- and Inter-Model Measurement Uncertainty

- Inter-model uncertainty provides benchmarks for inter-model comparison



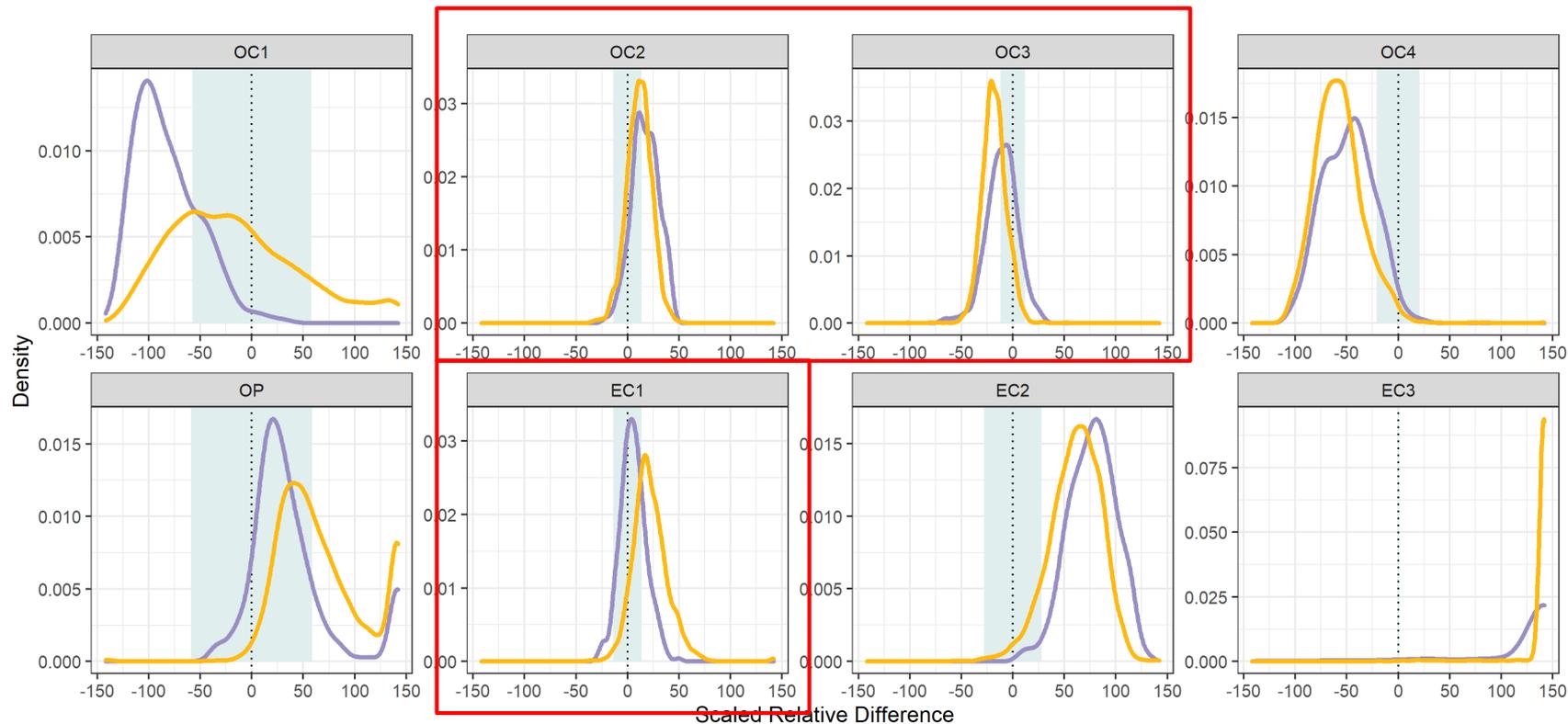
	Combined Uncertainty (%)
TC	4.1
OC	5.0
EC	12
OP	58
OC1	57
OC2	13
OC3	11
OC4	20
EC1	13
EC2	27
EC3	NA

# Inter-model Comparison of Bulk Carbon Parameters



- 2007 Set, Sunset vs. DRI 2001 ( $n = 303$ )
- 2017 Set, Sunset vs. DRI 2015 ( $n = 4073$ )
- Good agreement in both comparisons with strong correlation and slopes close to 1
- Mean  $\overline{SRD}$  (inter-model bias) smaller than inter-model uncertainty

# Inter-model Comparisons of Carbon Sub-Fractions



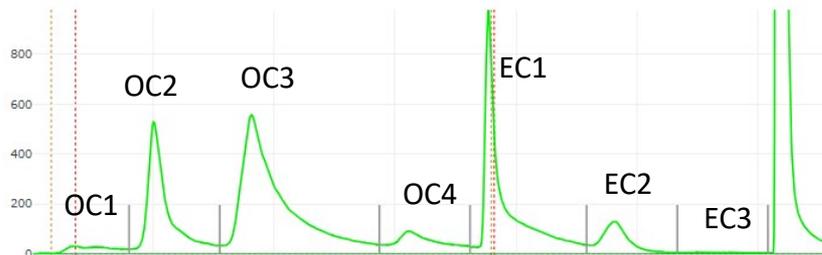
“Carbon Migration”  
(e.g. Chow et al., 2007)

DRI Analyzer ■ Model 2001 ■ Model 2015

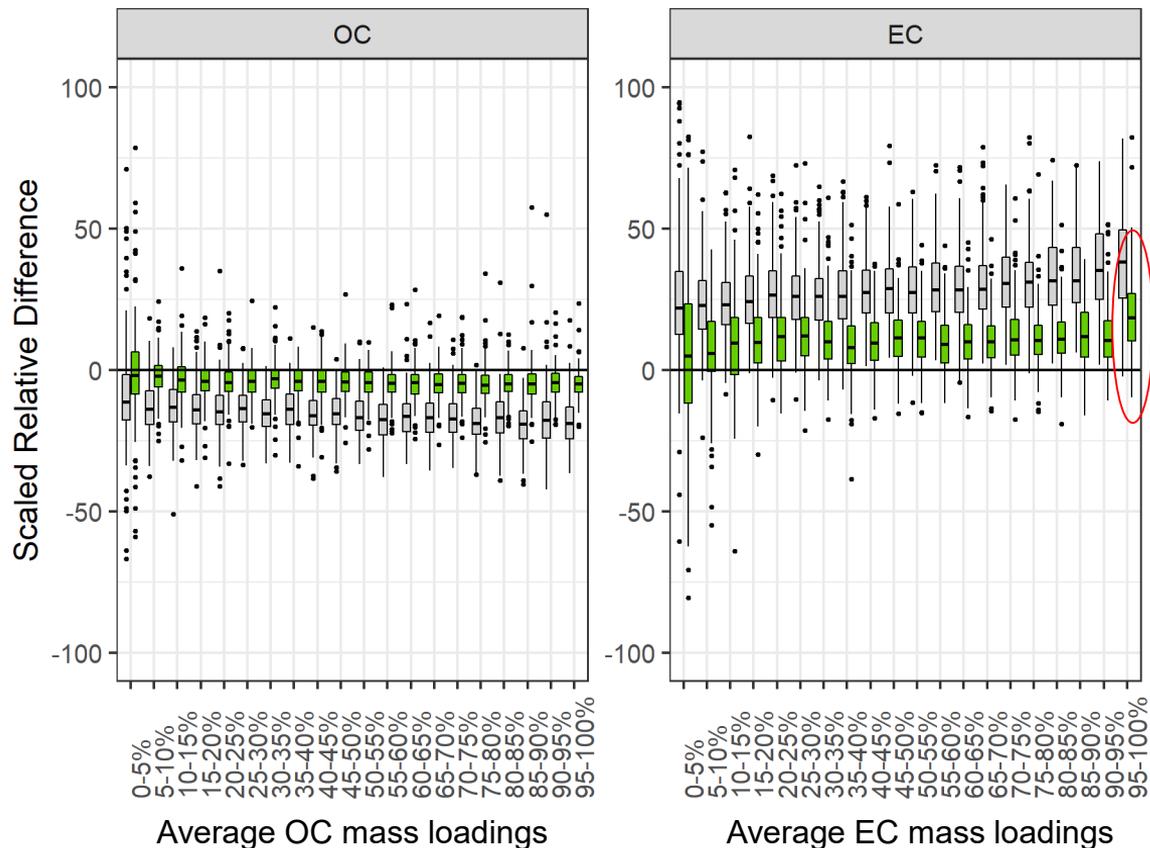
$$SRD_i = \frac{([Sunset]_i - [DRI]_i) / \sqrt{2}}{([Sunset]_i + [DRI]_i / 2)} \times 100$$

# Possible Reasons for Carbon Migration

- Slight difference in sample temperatures
  - DRI and Sunset analyzers use fundamentally different temperature calibration method.
- Peak integration scheme
  - UCD-Sunset: integrates all carbon signals above baseline (mean of first 10 seconds FID)
  - DRI: peak integration thresholds (differs for FID and NDIR) on top of the baseline
- Residence time
  - IMPROVE A analysis time is concentration-driven; Each step for 150-580 seconds
  - Early advance to the next temperature step may occur with a higher baseline



# Optical Correction Reduced Inter-Model Biases in OC and EC



(Sunset vs. DRI 2015 data only)

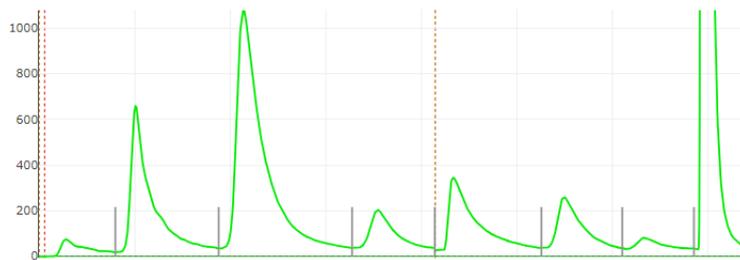
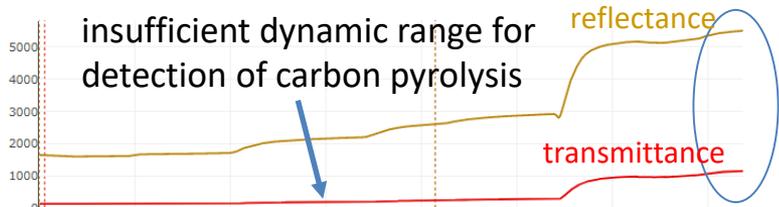
- w/o optical correction
- OC<sub>sum</sub> = OC1+OC2+OC3+OC4
- EC<sub>sum</sub> = EC1+EC2+EC3
  
- w/ optical correction
- OC = OC1+OC2+OC3+OC4+OP
- EC = EC1+EC2+EC3-OP

OC<sub>sum</sub> → OC      -16% → -4.1%

EC<sub>sum</sub> → EC      29% → 11%

# Samples with No Optical Correction (Pyrolyzed OC Not Detected)

Low final reading

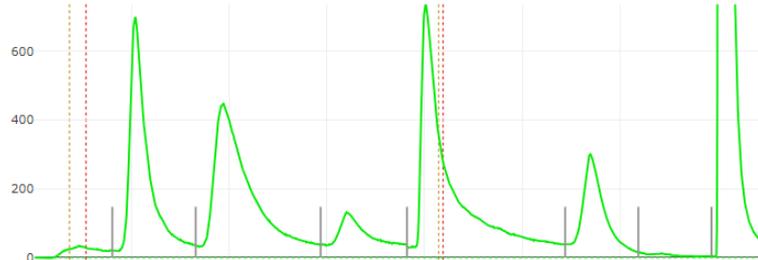
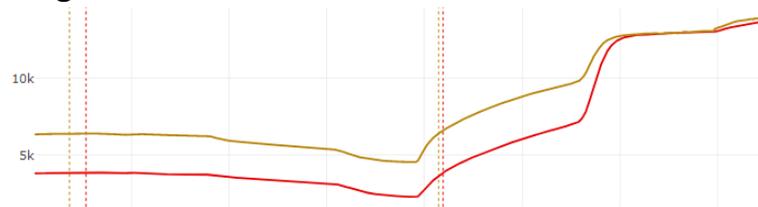


8/13/19 Children's park, AZ

OC =  $16.6 \mu\text{g}/\text{cm}^2$

OP = 0

EC =  $6.7 \mu\text{g}/\text{cm}^2$



8/13/19 Phoenix, AZ

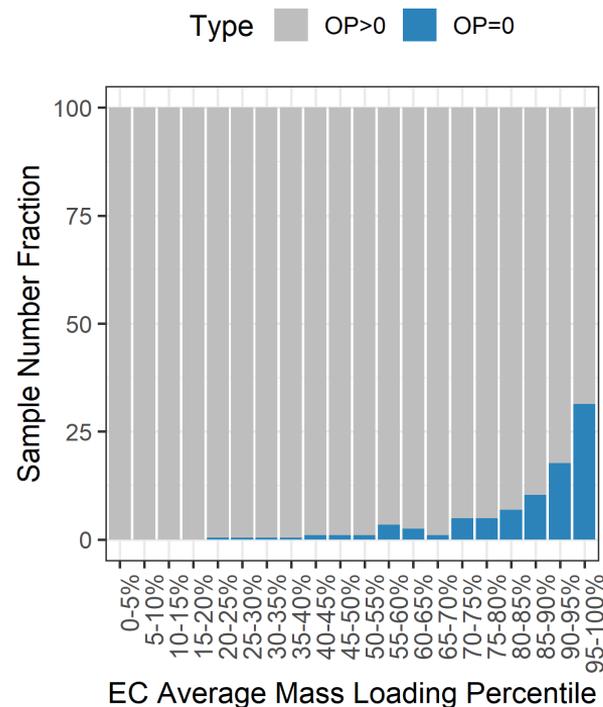
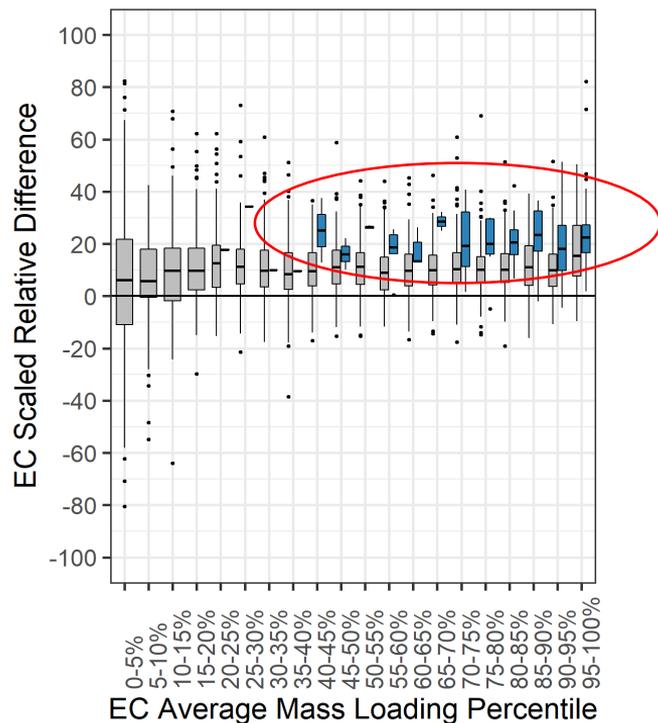
OC =  $13.8 \mu\text{g}/\text{cm}^2$

OP =  $2.9 \mu\text{g}/\text{cm}^2$

EC =  $5.6 \mu\text{g}/\text{cm}^2$

# Substantial Inter-Model Biases in EC for Samples with No OP

- Out of the 4073 CSN 2017 samples analyzed, 187 samples (4.6%) have no instrumentally detected OP by both Sunset and DRI 2015.



## Conclusion

- TOA measurements are not only protocol dependent, but also instrumentation dependent.
- Good agreement for TC and major carbon fractions OC and EC between DRI and Sunset analyzers; larger and diverse inter-model differences in OC1-OC4 and EC1-EC3 sub-fractions.
- Optical charring correction reduced the inter-model biases in OC and EC relative to those of  $OC_{\text{sum}}$  and  $EC_{\text{sum}}$ .
- For extremely dark samples (~5%), inter-model discrepancy in EC was substantial due to erroneous automatic OCEC split.

Any Questions?