

Section 508 Compliant Yes No

Optical Absorption Analysis of PM_{2.5} Samples
UCD SOP #276; Version 5.6
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Page 1 of 12

UCD IMPROVE Standard Operating Procedure #276

Optical Absorption Analysis of PM_{2.5} Samples

*Interagency Monitoring of Protected Visual Environments
Air Quality Research Center
University of California, Davis*

*April 19, 2023
Version 5.6*

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Page 2 of 12**DOCUMENT HISTORY**

| Revision | Release Date | Initials | Section/s Modified | Brief Description of Modifications |
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| | 05/20/21 | SRS | Document History | Document history table added. |
| | 6/29/2022 | LMK | 5.1, 6.4 | Updated parts list. Added HIPS QC application. |
| 5.6 | 04/19/2023 | JRS, LMK, ML | 2, 3, 6 | Update number of verification filters from 15 to 14. Added Sample Replicate definition and description of procedure. Added QC table. |
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UCD SOP #276; Version 5.6
April 19, 2023
Page 3 of 12

TABLE OF CONTENTS

- 1. Purpose and Applicability..... 4
- 2. Summary of the Method 4
- 3. Definitions..... 5
- 4. Personnel Qualifications 6
- 5. Equipment and Supplies 7
 - 5.1 HIPS System 7
 - 5.2 HIPS Analysis Requirements..... 7
- 6. Procedural Steps..... 7
 - 6.1 Overview of the HIPS System 7
 - 6.2 Preparation of Filters for HIPS Analysis..... 9
 - 6.3 Tray Generation in LabVIEW 9
 - 6.4 Preparation for Routine HIPS Analysis 9
 - 6.5 HIPS Analysis of IMPROVE samples..... 10
 - 6.6 Data Delivery and Reporting..... 10
- 7. Quality Assurance and Quality Control..... 11
- 8. Field Blank Correction Coefficients 12
- 9. References..... 12

LIST OF FIGURES

- Figure 1. UC Davis Hybrid Laser Integrating Plate/Sphere (HIPS)..... 8

LIST OF TABLES

- Table 1The Routine QC activities, criteria, and corrective actions. 11

1. PURPOSE AND APPLICABILITY

This standard operating procedure (SOP) describes the measurement of optical absorption of PM_{2.5} loaded polytetrafluoroethylene (PTFE) filters (collected in the IMPROVE network) using the Hybrid Integrating Plate/Sphere (HIPS) system. Readings for transmittance and reflectance of light from the sample filters are stored in the internal database. A calculated light absorption coefficient for particles on the filters (fAbs) is reported to the National Park Service database.

2. SUMMARY OF THE METHOD

The HIPS system utilizes a HeNe laser (632.8 nm wavelength) to illuminate the backside of a sample aerosol filter. Reflected light from the backside of the filter is collected and measured by an integrating sphere, which provides the reflectance value (R) for the measurement. Light transmitted through the filter passes through the deposit, which absorbs and scatters some of the light. The remaining transmitted light is diffused by an opal glass plate and collected by a detector (the integrating plate), which provides the transmittance value (T) for the measurement. The reflectance value is used as a proxy for transmittance of a blank filter (the absorption from PTFE is negligible, therefore, $T + R = 1$). The reflectance and transmittance detectors both read zero in absence of light and are linear in response. Therefore, a single reading is used to register the HIPS system to previous measurements. This is done by adjusting the detector's scales to previously set values for a single registration filter. The filter's reflectance and transmittance values were assigned based on calibration with a research integrating sphere.

Once registration of the HIPS detectors is complete, a set of verification filters are run (the registration filter is part of this verification set). These filters span an order of magnitude in absorption values, providing a thorough range of transmittance and reflectance values, including verification that the HIPS system is performing consistently over every measurement session. Once run, a Quality Control (QC) check is performed to ensure the HIPS analysis of these filters meets acceptance criteria.

Prior to analyzing the monthly sample filters, a set of reanalysis filters is analyzed. Where the verification filters ensure consistency of T and R measurements of the HIPS system, the reanalysis filters check for consistency of the absorption coefficient.

Sample filter analysis with the HIPS system is typically grouped by set number. It can take two to three days to complete the analysis of an entire set of filters and the verification and reanalysis QC tests are run daily. Sample filters must first be prepared by scanning and transferring the filters into slides. Filters mounted in slides are then organized in slide trays. Filter information for each sample is verified prior to scanning. Files used for HIPS analysis are generated during the scanning process.

3. DEFINITIONS

- HIPS: Hybrid Integrating Plate/Sphere.
- PM_{2.5}: particles with aerodynamic diameter equal to or less than 2.5 micrometers; fine particulate matter.
- 1A module: one of four channels routinely run at every site in the IMPROVE network. Collects PM_{2.5} samples on 25 mm PTFE filters.
- HeNe laser: Helium-Neon laser operating at a wavelength of 632.8 nm in the red part of the visible spectrum, used in the HIPS system.
- Field blanks: 1A module PTFE filters which travel to IMPROVE sites and are loaded into samplers, but are not sampled. These are used mainly for blank correction of different IMPROVE analysis methods. For HIPS they allow correction of the raw T and R values for the case of non-absorbance.
- T: transmittance measurement; measured by the integrating plate in the HIPS system. Transmittance is the ratio of light passing through the filter/deposit to the incident light.
- R: reflectance measurement; measured by the integrating sphere in the HIPS system. Reflectance is the ratio of light backscattered by the filter to the incident light
- t: the field blank corrected transmittance value. Field blank correction is found by the equation, $t = T/a_0$, where a_0 is the intercept of the linear regression of the field blank results to the line, $r + t = 1$.
- r: the field blank corrected reflectance value. Field blank correction is found by the equation, $r = -a_1R/a_0$, where a_0 is the intercept and a_1 is the slope of the linear regression of the field blank results to the line, $r + t = 1$.
- b : raw absorption optical depth, $b = \ln\left(\frac{1-R}{T}\right)$.
- τ_{abs} : field blank corrected absorption optical depth, $\tau_{abs} = \ln\left(\frac{1-r}{t}\right)$.
- $fAbs$: inferred atmospheric absorption coefficient, $fAbs \stackrel{\text{def}}{=} \frac{f}{V} \ln\left(\frac{1-r}{t}\right)$, where f is the area of the sample deposit and V is the volume (at local conditions) of air sampled. This is the calculated value in which all HIPS data is reported to IMPROVE.
- Verification filters: a set of 14 sampled filters encompassing a range of mass loadings and composition used to verify the registration of the HIPS detectors for long-term consistency of measurements.
- Reanalysis filters: a set of 22 sampled filters encompassing a range of mass loadings and composition used to monitor performance of the HIPS system.

- Neutral density material (NDM): a material which reduces the intensity of all wavelengths of light equally. The NDM in HIPS acts as a reference absorber, providing reference reflectance and transmittance values during HIPS analysis.
- Sample Replicates: replicate measures of approximately 5% or more of network samples are made.

4. PERSONNEL QUALIFICATIONS

The personnel responsible for HIPS analysis include a spectroscopist and trained laboratory technicians, who work under the general supervision of the laboratory manager.

The spectroscopist will:

- Maintain records on HIPS operation
- Perform maintenance and repair of the HIPS system as necessary
- Supervise and train lab technicians to run the HIPS system
- Calibrate and run controls on the HIPS system
- Resolve any inconsistencies in calibrations, controls, or individual analyses
- Provide quality assurance

The laboratory technician III will:

- Inventory filters for HIPS analysis
- Prepare filters for HIPS analysis by moving them from petri dishes to slides
- Run quality control samples on the HIPS system under the supervision of the spectroscopist
- Perform HIPS analysis of sample filters under the supervision of the spectroscopist
- Supervise and train laboratory technician I to run the HIPS system

The laboratory technician I will:

- Prepare filters for HIPS analysis by moving them from petri dishes to slides
- Run the HIPS system under the supervision of the laboratory technician III or spectroscopist

The laboratory manager will:

- Ensure all personnel working with the HIPS system are appropriately trained
- Oversee maintenance and records on HIPS operation
- Provide quality assurance
- Oversee work performed by the spectroscopist and lab technicians

5. EQUIPMENT AND SUPPLIES

The equipment and materials required for preparing filters for HIPS analysis and for building trays can be found in technical documents 276A and 276B. The equipment and materials required for HIPS analysis are listed below:

5.1 HIPS System

- HeNe laser (Thorlabs HNL050R)
 - User manual available
U:\IMPROVE_Lab\LASER\HNL050RSupportDocumentation
- Achromatic FiberPort (ThorLabs PAF2-A4A)
- Fiber collimator (ThorLabs RC08APC-P01)
- Single Mode fiberoptic patch cable (Thor Labs P3-630A-FC-1)
- Integrating sphere (Labsphere: 4P-GPS-040-SF, 4-inch sphere with Spectralon coating)
- Reflectance photodiode detector (Newport 918D-SL-OD3R)
- Slide changer (UC Davis: custom made, pneumatic operation)
- Absorptive Neutral density material (Edmond Optics 65-814)
- Flashed Opal diffuser (integrating plate, Industrial Optics Unlimited)
- Transmittance photodiode detector and radiometer (Newport 918D-SL-OD2R)
- Optics bench and mounts
- Laser mounting cage
- PC (Microsoft Windows based with LabVIEW installed)

5.2 HIPS Analysis Requirements

- Tray of verification QC filters
- Tray of reanalysis QC filters
- IMPROVE PTFE filters, in slide trays, for HIPS analysis

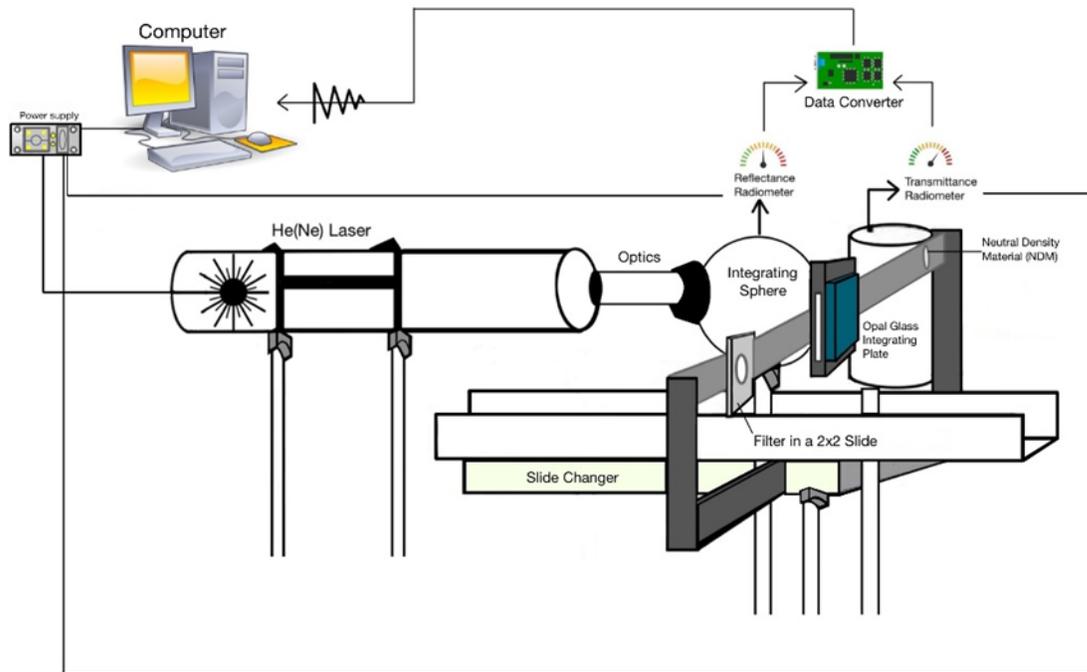
6. PROCEDURAL STEPS

6.1 Overview of the HIPS System

A schematic of the HIPS system is given in Figure 1. Light of 633 nm wavelength from a HeNe laser is diffused and collimated to provide a uniform beam of light of approximately 0.8 cm² in area at the sample. The filters are mounted in standard 2" x 2" slide frames with the particles on the side of the filter away from the incident light. The light transmitted through the sample into a forward cone is diffused by an optical diffusing plate and collected with a photodiode detection system. The reflected light is made uniform by an integrating sphere and measured with a photodiode detector. The

slide-changer arm has two positions: (1) the filter, and (2) the neutral density material (NDM).

Figure 1. UC Davis Hybrid Laser Integrating Plate/Sphere (HIPS).



The absorptivity of PTFE is known to be vanishingly small in the visible spectrum (Weidner et al. 1985; Li et al. 2008). This property of PTFE allows blank filters to be used to calculate the transformation of the raw measured transmittance and reflectance (T and R) so the blanks fall on the line of zero absorbance, $t + r = 1$. A linear regression is performed on the field blanks and the intercept, a_0 , and slope, a_1 , are used to transform the raw T and R values to the field blank corrected values, t and r , by starting with the linear equation:

$$T = a_0 + a_1 R,$$

then moving the measured terms to one side and dividing by the intercept:

$$\frac{T}{a_0} - \frac{a_1 R}{a_0} = 1.$$

Comparing this with the zero-absorption line, $t + r = 1$, we find the following:

$$t = \frac{T}{a_0}, \quad r = \frac{-a_1 R}{a_0}.$$

The equation for the absorption optical depth is then given by:

$$\tau_{abs} = \ln\left(\frac{1-r}{t}\right) = \ln\left(\frac{a_0 + a_1 R}{T}\right).$$

The intercept and slope values, a_0 and a_1 , in the equations above are dependent on the filter membrane as thinner filters will transmit more and reflect less light than thicker filters, as well as the characteristics of the HIPS components, laser, lenses, and detectors. By measuring field blanks of similar filter type to the IMPROVE sample filters and under the same instrument conditions, the equation above removes these dependencies making the absorption coefficient measurement consistent for all samples and independent of the instrumental characteristics.

6.2 Preparation of Filters for HIPS Analysis

A lab technician transfers filters from labeled Petri dishes to labeled slides in preparation for HIPS analysis. Slides are organized in slide trays by set number. Slide trays are labeled with a sticker indicating the sample year, set number, set tray number and LabVIEW tray number. Detailed instructions for this procedure are located in TI 276A.

6.3 Tray Generation in LabVIEW

As filters are transferred into slides, files are generated concurrently for analyzing filters via LabVIEW. A lab technician generates files using the Build a Tray software within LabVIEW. The files consist of a list of filters that need to be analyzed. Filter barcodes, site and sample dates are verified once scanned into the tray building software. The site and sample date on the petri is also verified with the labeled slide. The filter is transferred into a slide and then placed in the slide tray. Filters are placed into the slide tray in the same order they are scanned into the software. The first and last filter in a slide tray will be verified again before the slide tray is analyzed. Detailed instructions for this procedure can be found in UCD TI 276A.

6.4 Preparation for Routine HIPS Analysis

Routine HIPS analysis is performed for all IMPROVE filters in a set. A single analysis session is preferable for HIPS as it maximizes quality control. The HIPS system is prepared for routine analysis by the spectroscopist or a lab technician. Only after the verification and reanalysis QC filters have been run and quality control criteria met will the system be released for routine HIPS analysis.

The verification, reanalysis, and routine analysis data are ingested into the database immediately following analysis of a tray. The verification and reanalysis data are reviewed on the HIPS QC page for QC verification steps, <https://shiny.aqrc.ucdavis.edu/HipsQC/>. T and R measurements are recorded by LabVIEW for filters analyzed. Additionally, T and R measurements are recorded from

the neutral density material (NDM) taken before each sampled filter is measured as a QC check. The NDM is also measured before each verification and reanalysis filter.

Prior to HIPS analysis, files generated using the Build a Tray software will only contain, Barcode ID, FilterID, sample date and slide tray information.

The HIPS system is turned on at least four hours before it is set to analyze in order to allow the laser and detectors to stabilize. On the day of HIPS analysis, a trained lab technician III or the spectroscopist registers the detectors in the system by running the registration filter and ensuring the transmittance and reflectance values are in the accepted range. The registration is then verified using a tray of 14 verification filters. Then, a reanalysis tray is analyzed. T and R data for these filters are ingested into the database. After acceptance criteria for the verification and the reanalysis filters have been met, HIPS analysis of IMPROVE filters can begin. Following the completion of sample analysis each day the reanalysis set is reanalyzed. Data for the reanalysis set is immediately transmitted and reviewed on the HIPS QC page for end of day QC verification. Step-by-step instructions for these procedures are located in TI 276B.

6.5 HIPS Analysis of IMPROVE samples

HIPS analysis of IMPROVE samples is performed by laboratory technicians, under the supervision of the spectroscopist. In addition to the measurements of T and R for IMPROVE samples, routine analysis requires measurements of T and R for the NDM must also be taken before each sample. Results for both the NDM and samples are recorded using LabVIEW. After analysis of five slide trays, the registration of the HIPS detectors must be re-verified offline using position 3 from the verification tray. At the end of the analytical session for the month of samples, which can take up to three days, the HIPS system is turned off. Detailed instructions for this procedure can be found in TI 276B.

6.6 Data Delivery and Reporting

Once a slide tray has finished analyzing, data are verified to be complete and then written to the database. The data are then available through the SQL server. Data reporting is performed after final data validation is performed.

7. QUALITY ASSURANCE AND QUALITY CONTROL

Table 1 The Routine QC activities, criteria, and corrective actions.

| Analysis | Frequency | Criterion | Corrective Action |
|---------------------|--|---|---|
| Verification Set | Daily | Within $\pm 3\%$ of their reference values | <ul style="list-style-type: none"> • Visually inspect filter for damage or contamination. • If no damage is found, rotate filter. • Ensure sample was loaded correctly • Re-register detectors • Reanalysis required |
| Reanalysis Set | Once at the beginning of analysis and once at the end of total analysis for the day. | Linearity coefficient of determination must be greater than 0.95 and the slope must be within 0.95 and 1.0. Long-term reanalysis acceptable mean z-scores are ≤ 1 | <ul style="list-style-type: none"> • Visually inspect filter for damage or contamination. • If no damage is found, rotate filter. • Ensure sample was loaded correctly • Re-register detectors • Reanalysis required |
| Registration filter | Once at the beginning of the day, and once every 200 samples, or 5 full trays. | Within $\pm 1\%$ of the accepted values | Reanalysis required |
| Routine Replicates | Once a sample month | TBD | TBD |

Several checks throughout the analysis ensure that the data are as accurate as possible.

Before the filters are analyzed by the HIPS system, they are checked to ensure that the integrity of the filters remains acceptable and that any terminal status filters have been removed. A tray check is performed prior to scanning or transferring filters to verify filter order matches the inventory sheet. Once the filter order has been verified filters are scanned and transferred into slides one at a time. Additionally, filter information for the first and last filter in each slide tray are verified before proceeding with analysis.

A verification tray is run before reanalysis and routine analysis can occur. The raw T and R values for the verification filters must be within $\pm 3\%$ of their reference values before the process can continue. This ensures the detectors of the system read back values that are comparable with historical measurements. After this, a reanalysis tray is run. τ_{abs} is calculated using the linear regression of a selection of 2010 field blanks to correct for non-absorption. The results of the measured τ_{abs} must meet certain acceptance criteria. For details refer to TI 276C, "Quality Assurance and Quality Check of Analysis of PM_{2.5} Loaded Filters Using Hybrid Integrating Plate/Sphere (HIPS) Method for Measuring Light Absorption." Once the criteria for both verification and reanalysis filters have been met, routine HIPS analysis of IMPROVE samples can begin.

Replicate sample analysis is performed after the last tray in a sample month has been analyzed. Two full slide trays from a sampling month, containing a total of 80 sampled filters are reanalyzed as replicates and transmitted to the database. The number of replicate samples per sample month should equate to approximately 5% or higher of samples for that

month. Currently replicate data is being collected to help determine appropriate acceptance criteria. There are no laboratory level acceptance tests for the replicate measurements yet.

For more information on QA/QC, please see TI 276C.

8. FIELD BLANK CORRECTION COEFFICIENTS

To properly scale the raw T and R values so the field blanks have zero absorption, a linear regression must be performed on the field blanks and the coefficients, a_0 (y-intercept) and a_1 (slope), must be determined. This is done by measuring at least 40 field blanks from the same PTFE filter lot as the samples which are being analyzed. Lab blanks from the same PTFE filter lot can be substituted for field blanks if enough field blanks are not available. Then a linear regression of T to R is performed and the coefficients are calculated. These are then reported to the QA and validation team so they can be entered into the database for proper field blank correction of measured samples.

There are many factors which can change the field blank correction coefficients. These include changes to the HIPS system (e.g. replacement of a detector, laser, or optical component, adjusting the alignment of the optics) or changes in the PTFE filter lot or manufacturer. Anytime such a change is made, a set of field blanks of appropriately matching PTFE filter material must be analyzed on HIPS and new regression coefficients determined and uploaded to the database. The spectroscopist is responsible for ensuring this is properly done.

9. REFERENCES

UCD SOP #251: Sample Handling Laboratory

UCD SOP #276: Technical Instruction –

- TI 276A: Preparation for HIPS
- TI 276B: Performing HIPS Analysis
- TI 276C: Quality Assurance and Quality Check of Analysis of PM_{2.5} Loaded Filters Using Hybrid Integrating Plate/Sphere (HIPS) Method for Measuring Light Absorption

UCD SOP #301: XRF Analysis of Aerosol Deposits on PTFE Filters

UCD SOP #351: Data Processing and Validation